# Theory of Computation, CSCI 438, Spring 2021 

Exam 1, Jan 29
Name $\qquad$

## Definitions

1. What is the signature (i.e. inputs and outputs) of the transition function, $\delta$, in the following definition.

A deterministic finite automaton, DFA , is a machine $\mathrm{M}=\left(\mathrm{Q}, \Sigma, \delta, \mathrm{q}_{0}, \mathrm{~F}\right)$ where

- Q - finite set of states
- $\quad \Sigma$ - finite set of symbols, input alphabet
- $\delta$ - a transition function
- $\mathrm{q}_{0} \in \mathrm{Q}$, initial state
- $\mathrm{F} \subseteq \mathrm{Q}$, set of accept states

$$
\delta: Q \times \Sigma \rightarrow \mathrm{Q}
$$

2. Give the definition of regular expressions which is given in the text and which we have been using in class.

Regular expressions are defined as follows:
Basis:

- a where $\mathrm{a} \in \sum$ is a regular expression
- $\varepsilon$ is a regular expression
- $\Phi$ is a regular expression

Given regular expressions $\mathrm{R}_{1}$ and $\mathrm{R}_{2}$

- $\mathrm{R}_{1} \cup \mathrm{R}_{2}$ is a regular expression
- $\mathrm{R}_{1}{ }^{\circ} \mathrm{R}_{2}$ is a regular expression (this is often written $\mathrm{R}_{1} \mathrm{R}_{2}$ )
- $\mathrm{R}_{1}{ }^{*} \quad$ is a regular expression


## Problem Solving

3. Define a regular expression for $\mathrm{L}=\left\{\mathrm{w} \mid \mathrm{w} \in\{0,1\}^{*}\right.$, it at least three characters long and its third symbol is 0$\}$ (6 pts.) $(0 \cup 1)^{\circ}(0 \cup 1)^{\circ} 0^{\circ}(0 \cup 1)^{*}$
4. For the following, circle either True or False
(9 pts.)
A language is recognized by exactly one machine. True False A machine recognizes exactly one language. True False

Given the alphabet $\Sigma=\{0,1\}$ all languages on this alphabet are subsets of $\Sigma^{*}$.
True False
5. Using the alphabet $\Sigma=\{\mathrm{a}, \mathrm{b}\}$ create a DFA's for the language defined by $\{\mathrm{w} \mid \mathrm{w}$ contains at least two a's and at most one b$\}$

6. Give a regular expression for the language above. You are not required to use the mechanical method described in class.

$$
\mathrm{a}^{*}\left(\text { aaa }^{*} \cup \text { baaa* }^{*} \cup \text { abaa* }^{*} \cup \text { aaba* }^{*}\right)
$$

7. Following are two DFAs defined over the alphabet $\Sigma=\{\mathrm{a}, \mathrm{b}\}$.

DFA that recognizes the language $\{w \mid w$ has an even length $\}$ :


DFA that recognizes the language $\{w \mid w$ has an odd number of a's $\}$


Use the method described in the text and in class to create a DFA for language $\{\mathrm{w} \mid \mathrm{w}$ has an even length and an odd number of a's \} (15 pts.)


## Proofs

8. Prove that regular languages are closed under intersection. That is, show that if the languages $A$ and $B$ are regular, then the language $A \cap B$ is regular. (Note, this is proving that the construction which you used in the previous question, works.)

Say that A and B are regular languages. Then we know that there are DFAs

$$
\mathrm{M}_{1}=\left(\mathrm{Q}_{1}, \Sigma, \delta_{1}, \mathrm{q}_{1,0}, \mathrm{~F}_{1}\right) \text { and } \mathrm{M}_{2}=\left(\mathrm{Q}_{2}, \Sigma, \delta_{2}, \mathrm{q}_{2,0}, \mathrm{~F}_{2}\right)
$$

where $\mathscr{L}\left(\mathrm{M}_{1}\right)=\mathrm{A}$ and $\mathscr{L}\left(\mathrm{M}_{2}\right)=\mathrm{B}$.

Consider a new DFA, $\mathrm{M}_{\text {intersection, }}$ defined as follows:
$\mathrm{M}_{\text {intersection, }}=\left(\mathrm{QxP}, \Sigma, \delta^{\prime},\left(\mathrm{q}_{0}, \mathrm{p}_{0}\right), \mathrm{F}_{\text {intersection }}\right)$
where $\delta^{\prime}$ is defined by:
$\delta^{\prime}\left(\left(q_{i}, p_{j}\right), a\right)=\left(\delta_{1}\left(q_{i}, a\right), \delta_{2}\left(p_{j}, a\right)\right)$
and $\mathrm{F} \mathrm{M}_{\text {intersection }}$ is defined by

$$
\left.\mathrm{F}_{\text {intersection }}=\left\{\left(\mathrm{q}_{\mathrm{i}}, \mathrm{p}_{\mathrm{j}}\right)\right\} \mathrm{q}_{\mathrm{i}} \in \mathrm{~F}_{\mathrm{q}} \text { and } \mathrm{p}_{\mathrm{j}} \in \mathrm{~F}_{\mathrm{p}}\right\}
$$

With some thought, it can be see that $\mathrm{M}_{\text {intersection, }}$, accepts $\mathrm{L}_{1} \cap \mathrm{~L}_{2}$. Thus $\mathrm{L}_{1} \cap \mathrm{~L}_{2}$ is regular and regular languages are closed under intersection.
9. Consider the proof of the following statement:

If a regular expression describes a language, the language is regular.
A portion of this proof includes proving that, given regular expressions $\mathrm{R}_{1}$ and $\mathrm{R}_{2}$ which are recognized by NFAs, $\mathrm{M}_{1}=\left(\mathrm{Q}_{1}, \sum, \delta_{1}, \mathrm{q}_{1,0}, \mathrm{~F}_{1}\right)$ and $\mathrm{M}_{2}=\left(\mathrm{Q}_{2}, \sum, \delta_{2}, \mathrm{q}_{2.0}\right.$, $F_{2}$ ), respectively, there is an NFA that recognizes $R_{1}{ }^{\circ} R_{2}\left(R_{1}\right.$ concatenate $\left.R_{2}\right)$.

Draw a picture of the NFA which recognizes $\mathrm{R}_{1}{ }^{\circ} \mathrm{R}_{2}$.
(10 pts.)


Write a formal definition of this construction.
$\mathrm{R}_{1}{ }^{\circ} \mathrm{R}_{2} \quad$ is a regular expression
can be described by the NFA $\mathrm{M}_{\text {concat }}=\left(\mathrm{Q}_{1} \cup \mathrm{Q}_{2}, \sum, \delta, \mathrm{q}_{1,0}, \mathrm{~F}_{2}\right)$ where $\delta(\mathrm{q}, \mathrm{x})$ is equal to the following:
$\delta_{1}(\mathrm{q}, \mathrm{x})$ for $\mathrm{q} \in \mathrm{Q}_{1}-\mathrm{F}_{1}$ or $\mathrm{x} \in \sum$
$\delta_{1}(\mathrm{q}, \mathrm{x}) \cup\left\{\mathrm{q}_{2,0}\right\}$ for $\mathrm{q} \in \mathrm{F}_{1}$ and $\mathrm{x}=\varepsilon$ $\delta_{2}(\mathrm{q}, \mathrm{x})$ for $\mathrm{q} \in \mathrm{Q}_{2}$

## Extra Credit:

For any string $w=w_{1} w_{2} \ldots w_{n}$, the reverse of $w$, written $w^{R}$, is the string $w$ in reverse order, $w_{n} \ldots w_{2} w_{1}$. For any language $A$, let $A^{R}=\left\{w^{R} \mid w \in A\right\}$. Show that if $A$ is regular, so is $A^{R}$.

This question is asking if regular languages are closed under the reverse operation. Regular languages are closed under the reverse operation.

Proof: Suppose that A is regular. By the definition of what it means for a language to be regular, there is a DFA which recognizes $A$. To show $A^{R}$ is regular, we need to define a DFA that recognizes $A^{R}$.

Since A is recognized by a DFA, there is also an NFA that recognizes A. Using the results of Exercise 1.11 from last time, there is also an NFA with only one accepting state that recognizes A . Call this $\mathrm{M}=\left(\mathrm{Q}, \Sigma, \delta, \mathrm{q}_{0},\left\{\mathrm{q}_{\text {accept }}\right\}\right)$. Thus $\mathcal{L}(\mathrm{M})=\mathrm{A}$.

Consider the NFA $\mathrm{M}^{\mathrm{R}}=\left(\mathrm{Q}, \Sigma, \delta^{\mathrm{R}}, \mathrm{q}_{\text {accept }},\left\{\mathrm{q}_{0}\right\}\right)$ where

$$
\mathrm{p} \in \delta^{\mathrm{R}}(\mathrm{q}, \mathrm{a}) \text { whenever } \mathrm{q} \in \delta(\mathrm{p}, \mathrm{a}) \text {. }
$$

That is, consider the new NFA, $M^{\mathrm{R}}$, whose start state is the accept state of M , where all transitions are reversed, and that has the single accept state, that was the start state of $M$. With some thought it can be seen that $\mathscr{L}\left(M^{R}\right)=A^{R}$.

We have defined an NFA that recognizes $A^{R}$. Using the theorem that says that for every NFA, there is an equivalent DFA, there is a DFA which recognizes $A^{R}$, and $A^{R}$ is regular.

